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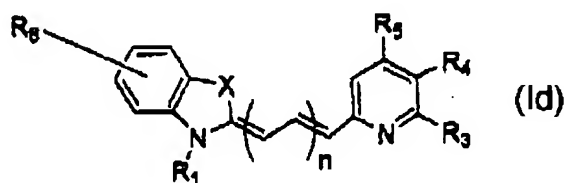
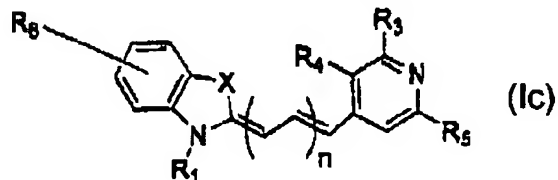
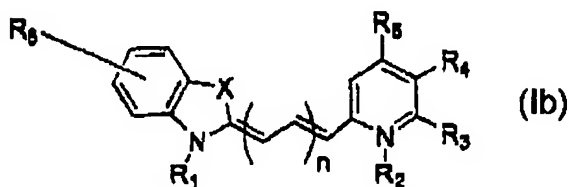
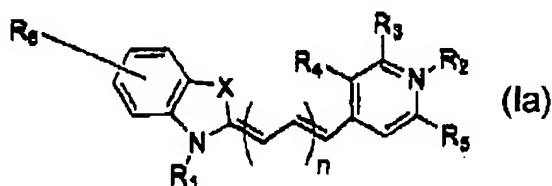
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[Continued on next page]

(54) Title: SOLID PHASE SYNTHESIS OF CYANINE DYES



(57) Abstract: The present invention relates to a method for the manufacture of asymmetric cyanine dyes of general formula (Ia, Ib, Ic, Id), whereby the dye is produced by carrying out a solid phase condensation reaction.



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## SOLID PHASE SYNTHESIS OF CYANINE DYES

### DESCRIPTION

#### Technical field

The present invention relates to a new synthesis method for the manufacture of cyanine dyes, in particular asymmetric cyanine dyes.

Asymmetric cyanine dyes consist of two heteroaromatic fragments linked by a polymethine chain. The absorption and fluorescence characteristics of these dyes are sensitive to environmental conditions, e.g., the fluorescence quantum yield of certain cyanine dyes is drastically increased upon interaction with nucleic acids. By varying the length of the conjugated system the photo physical properties can be altered. The cyanine dyes have been used in a variety of applications, such as photosensitizers for colour photography, fluorescent probes for life sciences applications, photo-oxidants, initiators for radical polymerization reactions, energy transfer, conversion of light energy to chemical potential, flow cytometry staining. Recently, it has been presented the utilization of asymmetric cyanine dyes as reporter groups in light-up probe technology for detection of specific nucleic acid sequences (Svanvik et al, Anal. Biochem. 281, 26, 2000; Isacson et al, Nucl. Acids Res. Methods, submitted; WO 97/45539). The synthesis of the light-up probe PNA sequence is carried out by peptide solid phase chemistry. The cyanine dye is coupled to the bases as the last step, by formation of an amide bond between the acid linker and the primary amine of the final base (Svanvik et al, Anal. Biochem. 281, 26, 2000).

The invention further belongs to the category probes for hybridization to nucleic acids, and in particular to fluorescence dyes used in such probes.

Such probes are used in methods where specific genes, gene segments, RNA molecules and other nucleic acids are identified. These methods are primarily used clinically, for example to test tissue, blood and urine samples, in food technology, agriculture and in biological research.

It is one object of the present invention to obtain fluorescent dyes which exhibit stronger fluorescent reactions than hitherto known ones.

A further object is to obtain fluorescent dyes that differ between DNA and PNA when attached to a probe.

The development of genetically modified products and the characterization of genes in human and other mammalian diseases require reliable detection of small amounts of DNA. By having a probe consisting of PNA and a cyanine dye it is possible to detect the presence of and/or quantify a specific DNA sequence by measuring the fluorescence increase from the dye. In order to obtain more sensitive probes the binding affinity of the dyes to PNA, which results in a background fluorescence, has to be reduced.

Within hospital care as well as within food industry systems are developed for an automatic analysis of the control of bacterial and virus concentrations. Using this new technology it is hoped that it is able to provide an analysis answer on the same day as tested, i.e. more or less in real time.

Probes for hybridization to nucleic acids (NA), with which it is referred to both deoxyribonucleic acids (DNA) and ribonucleic acids (RNA), are used to demonstrate the presence of specific target sequences (TS) in complex mixtures. Traditional hybridization methods, as first described by Gillespie and Spiegelman (J. Mol. Biol. 12, 829, 1956), employ a probe based on an oligodeoxyribonucleotide equipped with a reporter group (RG) that usually is a radioisotope, and encompasses usually the following steps: the nucleic acid to be tested is immobilized on a paper, glass bead or plastic surface; an excess of probe complementary to the target sequence is added; the probe is allowed to hybridize; non-hybridized probe is removed; remaining probe bound to the immobilized target sequence is detected.

WO 98/56770 discloses synthesis of a bimolecular reaction wherein one of the structural elements is bound to a solid phase using an ester bound or an amide bound. One object is

hereby to achieve less problems with by-products formed, as well as a possibility of achieving a library for mass screening.

The object of the present invention is to synthesise cyanine dyes, preferably asymmetric cyanine dyes, using solid phase chemistry. This approach would make production of pure cyanine dyes easier, and a combinatorial methodology would enhance the efficiency of dye development. Furthermore, the synthesis of light-up probes is facilitated, by omitting the need for pre-synthesis and purification of the linker-modified dyes.

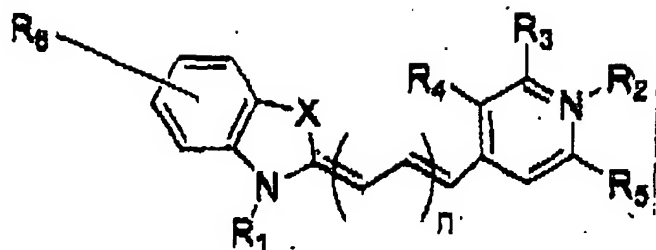
A further object is to obtain more pure and stable benzothiazole derivatives of cyanine dyes which are difficult, if not impossible to produce in laboratory reaction vessel chemistry by condensation reactions.

The benzothiazoles are subject to internal ring closure in "wet" chemistry. Cyanine dyes substituted with a carboxyl linker on the benzothiazole nitrogen can be problematic to handle since they are sensitive to light and undergo intramolecular ring closure reactions when stored.

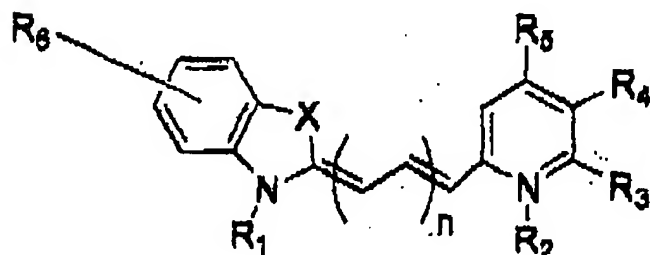
#### Description of the present invention

It has now turned out possible to synthesise asymmetric cyanine dyes using solid phase synthesis in accordance with the present invention which encompasses a method for the manufacture of asymmetric cyanine dyes of the general formula (Ia, Ib, Ic, Id)

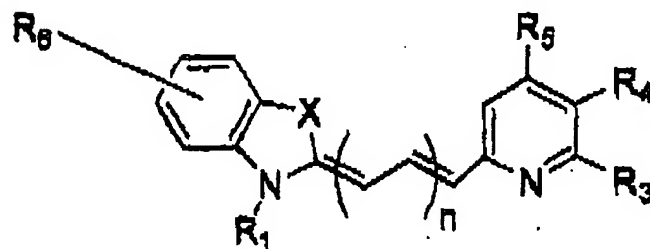
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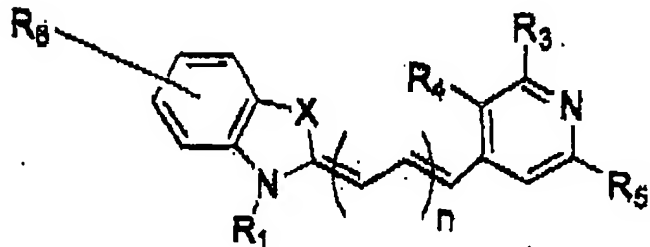
Ia



Ib



Ic



Id

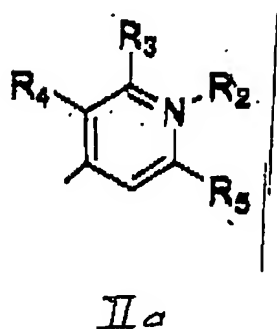
wherein X is S, O, Se, N-R<sub>7</sub>, or C(CH<sub>3</sub>)<sub>2</sub>,

all R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub>-groups are preferably alkyl having 1 to 7 carbon atoms,

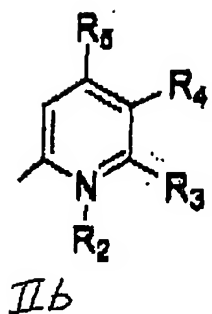
R<sub>1</sub> and R<sub>2</sub> are alkyl groups having 1-11 carbon atoms and comprising a carbonyl group being able to attach to a solid phase resin, and whereby R<sub>2</sub> may be a hydrogen atom, and whereby R<sub>3</sub> and R<sub>4</sub> can denote substituents being able to create a further aromatic ring,

n is 0 - 7, preferably 0, 1, 2, or 3,

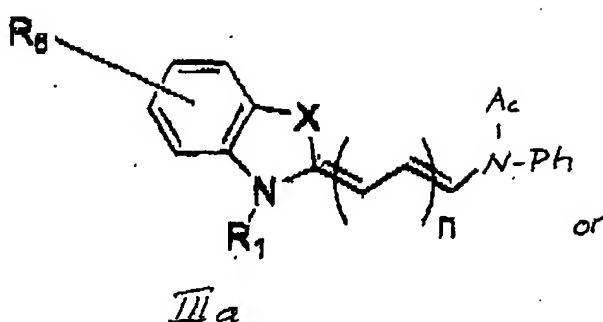
characterized in that a compound of the general formula (IIa, IIb)



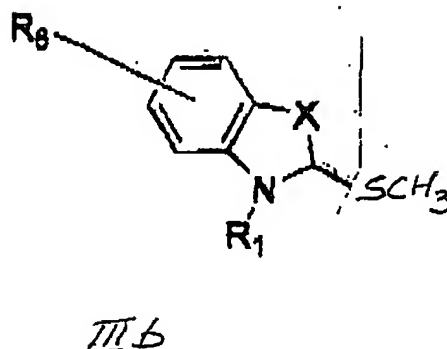
or



or the general formula (IIIa, IIIb)



or



respectively,

wherein  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_5$ ,  $R_6$ ,  $X$ , and  $n$  have the meanings as given above,

is attached to a solid phase molecule, and compounds of the general formula (III), and (II), respectively, is allowed to condense to the solid phase attached compound (II) or (III), respectively, to form a compound of the general formula (I)

By using solid phase synthesising reactions the reaction can be completed to very high yields, up to 100% yield and avoids the use of high temperatures, different solvents, which may be more or less toxic and influencing the environment. The present solid phase reaction is carried out at ambient temperatures and the trifluoroacetic acid used to release the final compound from the solid phase, if needed, is easily recovered.

The present invention further facilitates storage of starting materials instead of unstable, light-sensitive products with regard to probe synthesis. Thus probes can be prepared on solid phase by adding the DNA or PNA to the solid phase and then reacting the fluorescent



dye directly thereon by means of the present invention.

The present invention further facilitates preparation of dye libraries to construct arrays, dye spots on paper or gold surfaces. Several dyes can be prepared simultaneously and their properties can be easily screened. Properties such as addition of NAA (nucleic acid analogue)/NA (nucleic acid) to array which leads to determination of which spot that fluoresces the most, whereby a dye can distinguish between e.g., DNA and PNA; toxicity testing; drug development; and sequence specific dye binding or can only certain NA-sequences give rise to fluorescence enhancement.

A number of differently coloured cyanine dyes were synthesised to illustrate the combinatorial possibilities of solid phase dye synthesis. The starting materials were combined according to Figures 1 and 4. Compounds 1 and 2 were attached to the solid phase resin, and compounds A and B were subsequently condensed with the picoline and lepidine moieties, respectively. The visual results of the reactions were four differently coloured products, BO (yellow), TO (orange), BO-3 (purple), and TO-3 (blue). Mass spectrum analysis showed the expected product masses, but also a fraction of the starting materials attached to the resin, i.e., masses of the picoline and lepidine moieties. The condensation reactions proceeded to 48 %, 70 %, 78 %, and 50 %, respectively, for the four BO, TO, BO-3, and TO-3, respectively. The relatively high amounts of starting materials remaining are most probably due to too a short condensation reaction time.

De-protection of the Fmoc rink-amide MBHA polystyrene resin (50 mg, substitution level 0.55 mmol/g) was carried out using 25% piperidine in DMF for 30 min. The resin was split into two 25 mg portions and the acid-linker picoline and lepidine derivatives (Fig. 1, compounds 1 and 2) were coupled to the resin in 4-fold molar excess to the substitution level, using the conventional reagents HBTU and DIEA in 50% DMF/pyridine (300  $\mu$ l). Reactions were allowed to proceed for 2 h at ambient temperature (about 20°C), and the resin was washed with DMF (2x2 min) after completion. Finally, the resins were split into two 10 mg portions each. The benzothiazole compounds A and B (Fig. 1) were condensed (4-fold molar excess) with the resin coupled 1 and 2 in the presence of Et<sub>3</sub>N (5-fold molar excess) in DCM (300  $\mu$ l) for 3 h at ambient temperature. The resins were finally washed

with DCM (2 min) and MeOH (10 min).

In the equivalent way compounds 3-5 were coupled to compounds C-G in Fig. 4 to produce the compounds given therein.

The spectroscopic properties of the four dyes of Fig. 1 were investigated. The absorption spectra of the pure dyes are shown in Figure 2. Figure 3 illustrates the fluorescence spectra for the dyes in the presence of calf thymus DNA and compared with the fluorescence of the pure dyes as such. All the four dyes synthesised exhibit similar properties when interacting with DNA: strong fluorescence enhancement associated with the restricted rotation upon intercalation (Lee et al, Cytometry, 7, 508, 1986).

Solid phase synthesis of TO-N'-10, N-methyl-4[3-(3-carboxydecyl-3H-benzothiazol-2-ylidene methyl)] quinolinium salt, the dye commonly used in light-up probes (Isacson et al, Nucl. Acids Res. Methods, submitted) was carried out to investigate the efficiency of the condensation reaction step. Since the TO-N-10 dye has its carbon linker on the benzothiazole nitrogen, this synthesis was carried out by coupling of the linker-modified benzothiazole salt to the resin, and subsequently condensing the quinolinium salt to it. The activating base DIEA in 50 % DMF/pyridine was compared with Et<sub>3</sub>N in DCM. After 20 hrs the latter reaction had proceeded to 100 %, while the former contained residues of the unreacted benzothiazol compound (MS), completion to 17% only. The reaction time of the condensation, using Et<sub>3</sub>N in DCM, was subsequently investigated. Aliquots of the resin were removed at certain time points during the reaction, and were subjected to cleavage and MS analysis. The progress of the reaction can easily be shown by a plot of the product formation and disappearance of the benzothiazol reagent, respectively, versus reaction time of the experiment. DIEA and Et<sub>3</sub>N have been given as examples of suitable amines. Other amines which can be used are alkylamines.

A light-up probe was synthesised in which the TO-N-10 dye was condensed on the PNA sequence as described above. Some light-up probes are purified by HPLC before use, probes containing only the benzothiazole moiety will be separated from the correct probes and thus,

the condensation reaction time is not that critical. The light-up probe synthesised in this way has the same properties as the corresponding probe synthesized in the ordinary way where the dye is coupled to the PNA bases as the last synthesis step.

In summary it has been shown that the synthesis of different asymmetric cyanine dyes, utilizing solid phase chemistry is possible. The combinatorial approach makes it easier to develop novel dyes and the small synthesis scale is convenient for screening purposes. The interconnecting chain length and substitutions are readily altered, and further experiments will make it possible to vary the linker lengths, as well. In addition, this synthesis methodology facilitates synthesis of light-up probes, since the production of pure dyes, prior to probe synthesis is unnecessary. The starting material is easier to store, it is not sensitive to light or subjected to ring closure, which has been a problem with pre-synthesised dyes (Hung et al, Anal. Biochem, 243, 15, 1986).

The solid phase may consist of resins, in particular functionalised solid resins, such as functionalised polystyrenes, gold surfaces, in which case the hydroxy group of the carbonyl group is replaced by a thiol-group, paper material or silicon surfaces, such as a glass substrate. A functionalised group means a group that can link to an amine group, and preferably the link can be detached by trifluoroacetic acid. The carbonyl group may have, alternatively, its OH-group replaced by an amine group. The solid phase may further be a solid phase of above onto which a nucleic acid analogue/nucleic acid/peptide sequence is attached and onto which the cyanine dye is synthesised. The NAA/NA/peptide sequence thereby forms the solid phase base.

Abbreviations used herein:

DCM: dichloromethane; DIEA: diisopropylethylamine; DMF: N,N-dimethylformamide; Et3N: triethylamine; Fmoc: fluorenylmethoxycarbonyl; HBTU: (2-(1H-benzotriazol-1-yl)-1, 3, 3-tetramethyluroniumfluorophosphate; MBHA: p-methylbenzhydrylamine; MS: mass spectrometry; PNA: peptide nucleic acid; TFA: trifluoroacetic acid.

**Example**

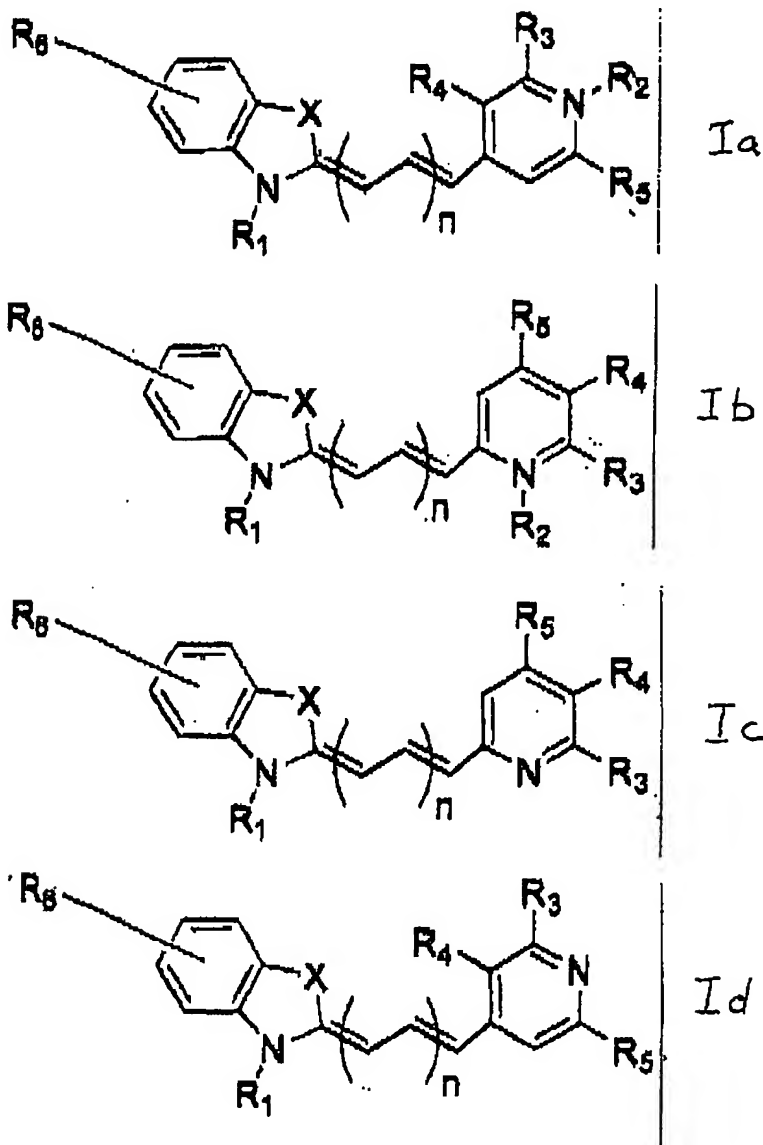
De-protection of Fmoc rink-amide MBHA resin was carried out with 25 % piperidine in DMF for 30 min. The acid-linker derivatives (1 and 2) were coupled in 4-fold excess to the substitution level of the resin, using conventional reagents HBTU and DIEA in 50 % DMF/pyridine. Reactions were allowed to proceed for 2 hrs. Following washings with DMF, the benzothiazol compounds (A and B) were condensed with 1 or 2 at ambient temperature, in the presence of Et<sub>3</sub>N (5-fold excess) in DCM. Products were cleaved by treatment with 95 % TFA/water for 90 min, and subsequently evaporated.

The opposite order of ingoing reactants have been tested as well, whereby compounds A and B in Fig. 1 having a R<sub>1</sub> group comprising a carbonyl group, attached to the N-atom were attached to the solid phase and compounds 1 and 2, then comprising a methyl group (R<sub>2</sub>) attached to the N-atom, were reacted thereto. The yields obtained amounted to 100 % after a reaction time of less than 12 hrs in each individual reaction.

Plain glass slides were cleaned in piranha solution (70:30 v/v mixture of concentrated H<sub>2</sub>SO<sub>4</sub> and 30% H<sub>2</sub>O<sub>2</sub>) for 12 hours at room temperature (about 20°C). After thorough rinsing with distilled water the slides were treated with a 3% solution of 3-aminopropyltriethoxysilane (United Chemical Technologies, Bristol, PA) in 95% ethanol for 1 hour. The absorbed silane layer was cured at 115°C for 1 hour. After cooling to room temperature the slides were washed several times in 95% ethanol to remove uncoupled reagent. The slides were used for solid phase reactions, whereby the dyes prepared were not removed but kept in place and the dyes prepared were used at the respective places on the slide(-s).

## CLAIMS

1. Method for the manufacture of cyanine dyes of the general formula (Ia, Ib, Ic, Id)

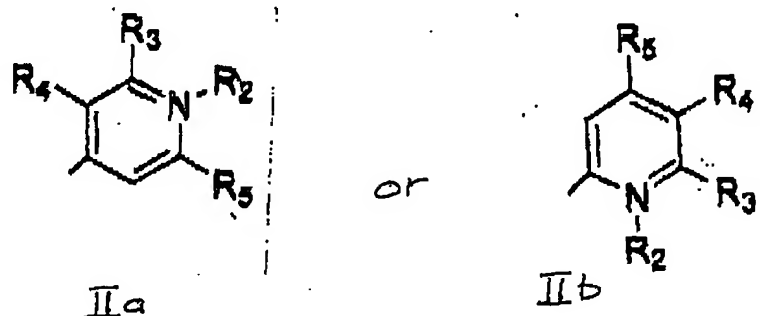


wherein X is S, O, Se, N-R<sub>7</sub>, or C(CH<sub>3</sub>)<sub>2</sub>,

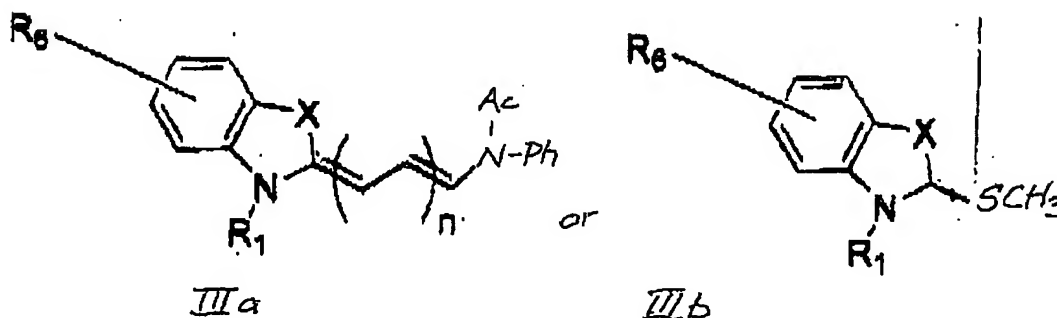
all R-groups are preferably alkyl having 1 to 7 carbon atoms, whereby R<sub>1</sub> and R<sub>2</sub> each individually comprises a carbonyl group being able to attach to a solid phase molecule, and whereby R<sub>3</sub> and R<sub>4</sub> can denote substituents being able to create a further aromatic ring optionally comprising a hetero atom of the group O, S, Se,

n is 0 - 7, preferably 0, 1, 2, or 3, or a corresponding symmetric cyanine dye comprising two benzthiazol groups or two quinoline groups.

characterized in that a compound of the general formula (IIa, IIb)



or the general formula (IIIa, IIIb)



respectively,

wherein  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_5$ ,  $R_6$ ,  $X$ , and  $n$  have the meanings as given above

is attached to a solid phase resin, and compounds of the general formula (III, IIIb) or (IIa, IIb), respectively, is allowed to condense to the solid phase attached compound (IIa, IIb) or (IIIa, IIIb), respectively, to form a compound of the general formula (Ia, Ib, Ic, Id).

2. Method according to claim 1, wherein the solid phase consists of a resin selected from the group consisting of functionalised resins.

3. Method according to claim 1, wherein the solid phase consists of a gold surface.
4. Method according to claim 1, wherein the solid phase consists of paper.
5. Method according to claim 1, wherein the solid phase consists of silicon surface.
6. Method according to claim 1, wherein the solid phase consists of a glass substrate surface.
7. Method according to one or more of claims 1-6, wherein the condensation reaction is carried out at ambient temperature.
8. Method according to one or more of claims 1-7, wherein the solid phase molecule comprises attached to a solid phase base a nucleic acid analogue/nucleic acid/peptide sequence onto which the cyanine dye is synthesised.
9. Probe for nucleic acid hybridization comprising a cyanine dye prepared in accordance with claims 1-8 condensed to a PNA (polynucleic acid) or a DNA sequence.

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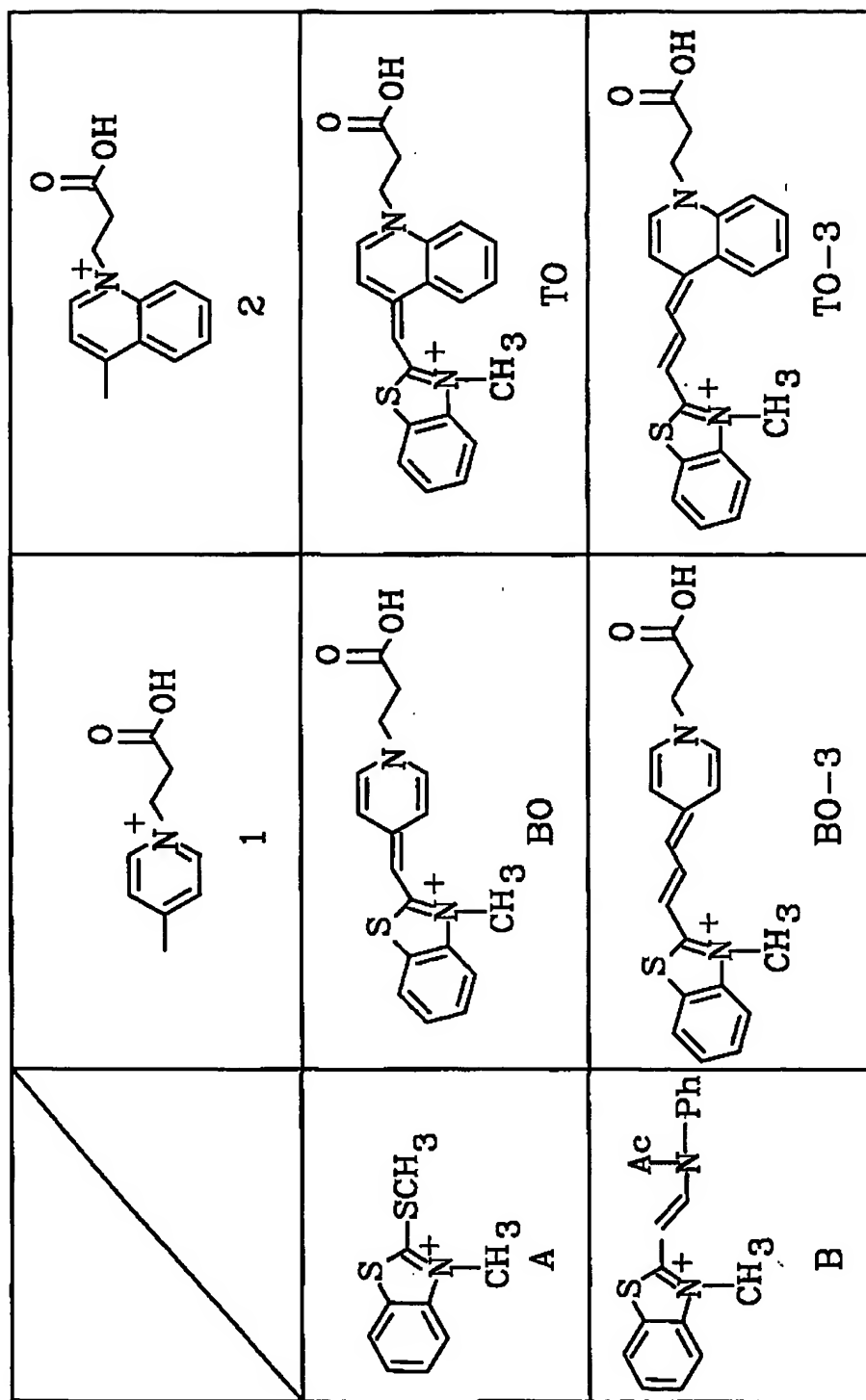


FIG.1



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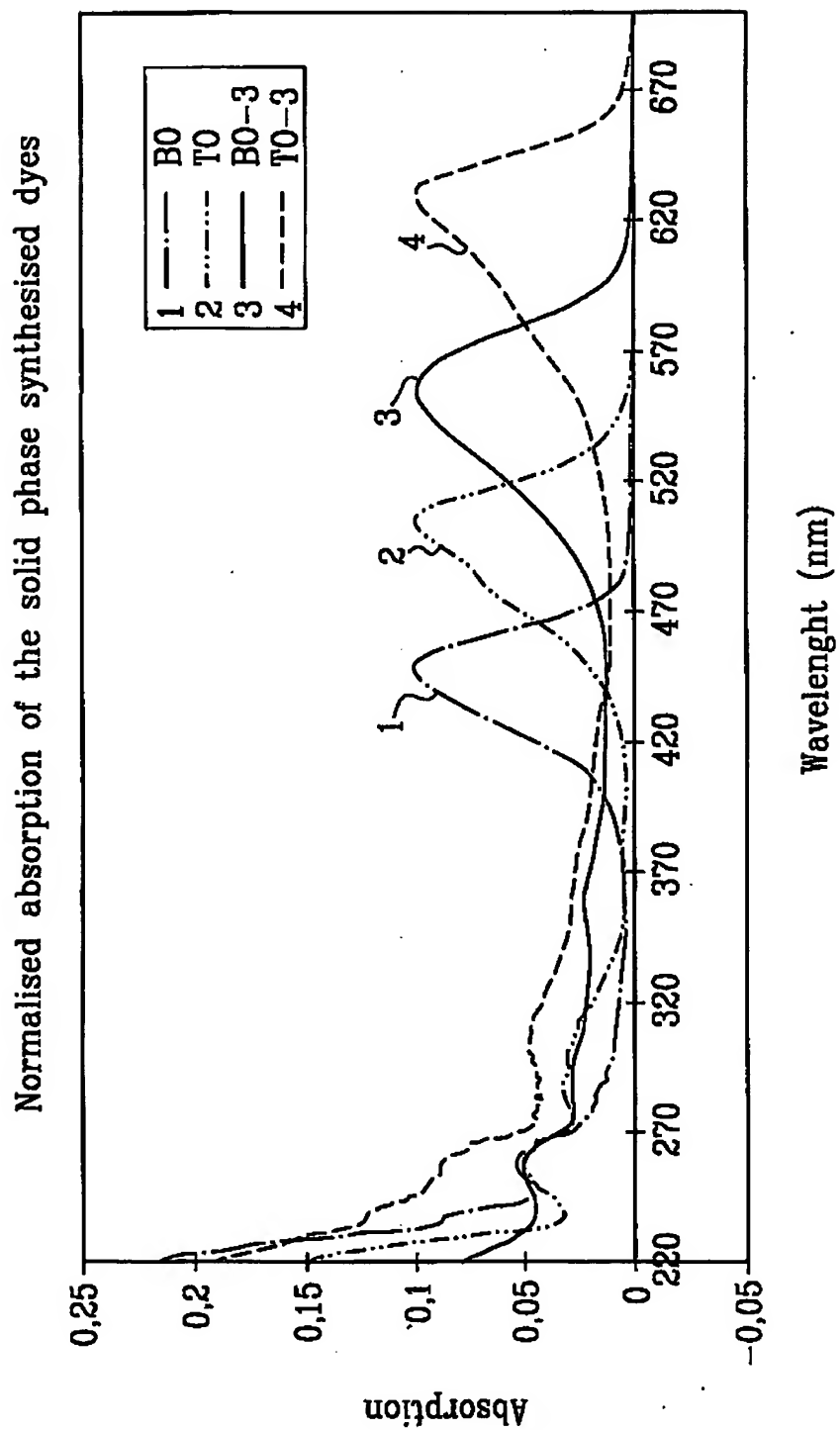
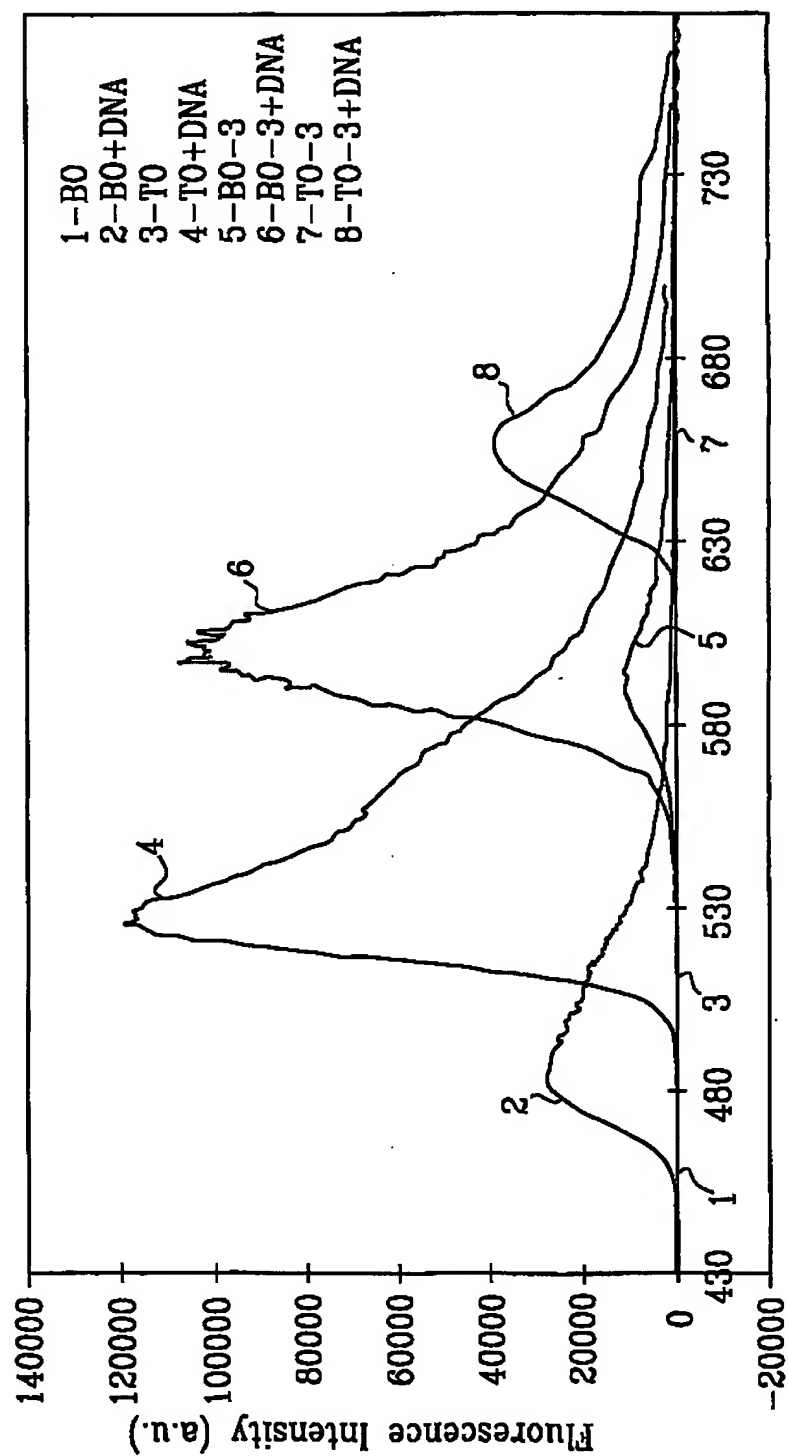


FIG.2

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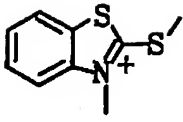
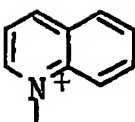
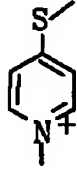
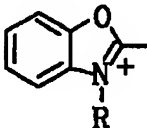
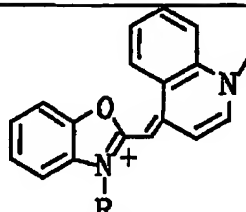
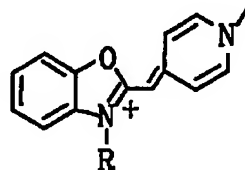
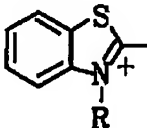
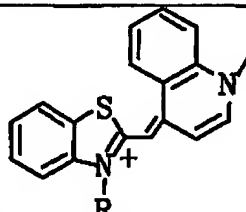
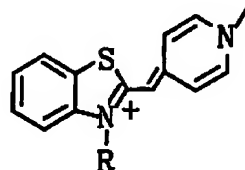
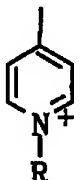
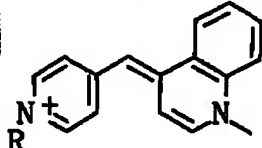
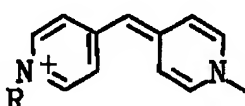
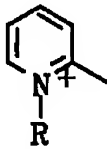
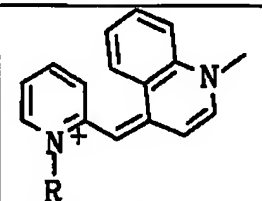
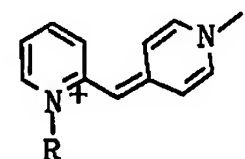
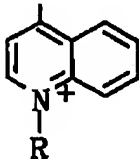
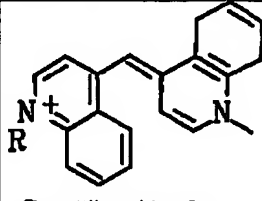
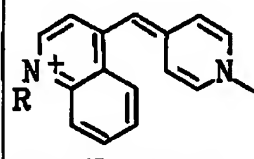
Fluorescence spectra of the solid phase synthesised dyes

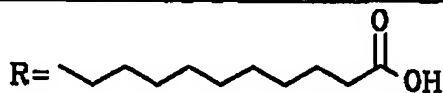


Wavelength (nm)

FIG.3

4/4

	 3	 4	 5
C	 $C_{27}H_{33}N_2O_3S$ Exact Mass 465,23	 $C_{29}H_{25}N_2O_3$ Exact Mass 459,27	 $C_{25}H_{33}N_2O_3$ Exact Mass 409,25
D	 $C_{27}H_{33}N_2O_2S_2$ Exact Mass 481,22	 $C_{29}H_{35}N_2O_2S$ Exact Mass 475,25	 $C_{25}H_{34}N_2O_2S$ Exact Mass 425,09
E	 $C_{27}H_{33}N_2O_2S$ Exact Mass 425,23	 $C_{27}H_{35}N_2O_2$ Exact Mass 419,28	 $C_{23}H_{33}N_2O_2$ Exact Mass 369,26
F	 $C_{27}H_{33}N_2O_2S$ Exact Mass 425,23	 $C_{27}H_{35}N_2O_2$ Exact Mass 419,28	 $C_{23}H_{33}N_2O_2$ Exact Mass 369,26
G	 $C_{29}H_{35}N_2O_2S$ Exact Mass 475,25	 $C_{31}H_{37}N_2O_2$ Exact Mass 469,26	 $C_{27}H_{36}N_2O_2$ Exact Mass 419,28



$C_{11}H_{21}O_2$   
Exact Mass 185,10  
Mol.Wt.: 186,29

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01286

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: C09B 23/02 // C07D 401/06, C07D 413/06, C07D 417/06  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: C09B, C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI DATA, EPO-INTERNAL, PAJ, CHEM.ABS.DATA

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 9745539 A1 (KUBISTA, MIKAEL), 4 December 1997 (04.12.97), Example 3, page 19-20 (Condensation reaction of N-methyl-2-methylbenzothiazolium P-OTs and N-(carboxypentyl) quinoline bromide	1-2
A	--	1-9
Y	WO 9856770 A1 (BASF AKTIENGESELLSCHAFT), 17 December 1998 (17.12.98), page 7, line 6 - line 18; page 35, line 1 - line 37, (Solid Phase Synthesis)	1-2
A	US 5863753 A (HAUGLAND ET AL), 26 January 1999 (26.01.99)	1-9
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☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

22 October 2001

Date of mailing of the international search report

23 -10- 2001

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01286

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Analytical Biochemistry, Volume 281, 2000, Nicke Svanvik et al, "Light-Up Probes: Thiazole Orange-Conjugated Peptide Nucleic Acid for Detection of Target Nucleic Acid in Homogeneous Solution" page 26 - page 35  --	1-9
A	Angew. Chem. Int. Ed., Volume 38, No 15, 1999, Oliver Seitz et al, "A Convergent Strategy for the Modification of Peptide Nucleic Acids: Novel Mismatch-Specific PNA-Hybridization Probes" page 2203 - page 2206  --	1-9
P,A	WO 0102558 A1 (FORSKARPATENT I VÄSTSVÄRIGE AB), 11 January 2001 (11.01.01)  -- -----	1-9

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/SE 01/01286

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				SE	9902565 D	00/00/00